

WASHINGTON STATE INVESTMENT BOARD

REQUEST FOR QUALIFICATIONS AND QUOTATIONS (RFQQ) #16-02
 FOR
 PERSONAL TRADING AND COMPLIANCE SOFTWARE

MANDATORY MINIMUM QUALIFICATIONS COMPLIANCE CERTIFICATE

This certificate and necessary supplemental pages must be set forth as Section 3 of your response, the “Minimum Qualifications Compliance Certificate.”

The undersigned hereby certifies that the firm submitting this response fulfills the minimum qualifications outlined below, as well as the other requirements set forth in this Request for Qualifications and Quotations.

Mandatory Qualifications

Unless otherwise specified, at the time of submittal of their proposals, offerors must satisfy **all** of the following mandatory minimum qualifications, as outlined below, to be considered for the contract award:

- A. The personal trading and compliance software must support the minimum requirements, as noted with an asterisk in “Application and Implementation Requirements.”
- B. The key professionals and/or organization must not have, nor could they potentially have, a material conflict with the WSIB.
- C. Firm must be financially sound and able to provide annual financial statement upon request, and SOC report, if available.
- D. The offeror must accept the written contract as supplied by the WSIB. The offeror must have the approval of their legal representative to the Sample Contract format set forth as Exhibit “G” prior to submittal of a response.

It is understood that failure to sign and return this statement and accompanying documentation with the response to the RFQQ will render the response invalid.

 Signature

 Firm

 Title

 Date