

WASHINGTON STATE INVESTMENT BOARD

REQUEST FOR QUALIFICATIONS AND QUOTATIONS (RFQQ) #16-02
FOR
PERSONAL TRADING AND COMPLIANCE SOFTWARE

CERTIFICATIONS AND ASSURANCES

The Offeror makes the following certifications and assurances as a required element of the response to which it is included, understanding that the truthfulness of the facts affirmed here and the continuing compliance with these requirements are conditions precedent to the award and continuation of the contract resulting from this RFQQ. Any exceptions to these Certifications and Assurances must be described in full detail on a separate page(s) and attached to this document. The WSIB reserves the right to determine if such exception is substantive and a basis for disqualification.

1. Independent Preparation and Cost Determination

- Prices and/or cost data have been arrived at independently, without consultation, communications, or agreement with any other Offeror or with any competitor for the purpose of restricting competition.
- Unless otherwise required by law, the prices and/or cost data submitted have not knowingly been disclosed by the Offeror and will not knowingly be disclosed by the Offeror(s), prior to award, directly or indirectly to any other Offeror or to any competitor.
- No attempt has been made or will be made by the Offeror to induce any other person or firm to submit or not submit a response for the purpose of restricting competition.

Note: In order to ensure fair and equal competition between all Offerors, the development of responses with the assistance of organizations or individuals outside the Offeror's organization must be declared in the response and the name(s) of such organizations or individuals provided. Failure to acknowledge such assistance and to identify those providing it may result in the disqualification of the response. No contingent fees for such assistance will be allowed to be paid under any contract or grant resulting from this RFQQ. However, the Offeror may freely join with other persons or organizations for the purpose of presenting a single response.

2. Price Warrant

The costs quoted for services in response to this RFQQ do not exceed those charged any other client for the same services.

3. No Conflict Of Interest

The Offeror certifies that no condition exists with respect to the Offeror, or any of its employees, regarding any current or past relationship with the WSIB that violates Chapter 42.52 RCW, "Ethics in Public Service".

4. Evaluation Period

The attached response is a firm offer for a period of 120 days following receipt by the WSIB and may be accepted by the WSIB without further negotiation at any time within the 120-day period.

5. Contacting References

The Board is hereby granted permission to contact any references provided in response to this RFQQ.

6. Acceptance of Contract Terms

The Sample Contract as referenced in the RFQQ and attached hereto as Exhibit "G". The Offeror, by submission of a response to this RFQQ, accepts the terms set forth in the Sample Contract as part of their final contract, if selected.

The person(s) signing below warrant that all of the foregoing certifications and assurances are true to the best of their knowledge after reasonable efforts to obtain the truth.

Signature

Firm

Title

Date